# Categorified Crystal Operators on $U(\mathfrak{sl}_p)$

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#### **1** Preliminaries

Let  $d\mathcal{H}_n$  be the degenerate affine hecke algebra and  $L(a^n) = \operatorname{Ind}_{P_n}^{d\mathcal{H}_n} L(a) \boxtimes \ldots \boxtimes L(a)$ . Recall that  $L(a^n)$  is irreducible.

**Definition 1.1.** Given  $M \in d\mathcal{H}_n - mod$  and  $a \in \mathbb{k}$  let  $\Delta_a(M) = generalized$  a-eigenspace for  $x_n$  on M, aka

$$\Delta_a(M) := \bigoplus_{\underline{a} \in \mathbb{k}^n, \ a_n = a} M_{\underline{a}}$$

**Lemma 1.2.**  $\Delta_a : d\mathcal{H}_n - mod \to d\mathcal{H}_{n-1,1} - mod$  is an exact functor.

*Proof.* Because  $x_n$  commutes with  $d\mathcal{H}_{n-1,1}$ , it first follows that  $\Delta_a(M)$  will be a  $d\mathcal{H}_{n-1,1}$  module and second, any  $d\mathcal{H}_{n-1,1}$  morphism  $M \to N$  restricts to  $\Delta_a(M) \to \Delta_a(N)$ .

**Definition 1.3.** More generally, define  $\Delta_{a^m} : d\mathcal{H}_n - mod \to d\mathcal{H}_{n-m,m} - mod$  to be the simultaneous generalized a-aigenspace of  $\{x_k\}_{k=n-m+1}^n$ , aka

$$\Delta_{a^m}(M) := \bigoplus_{\underline{a} \in \mathbb{k}^n, \ a_{n-m+1} = \ldots = a_n = a} M_{\underline{a}}$$

Lemma 1.4.

$$\operatorname{Hom}_{d\mathcal{H}_n}(\operatorname{Ind}_{n-m,m}^n(N\boxtimes L(a^m)),M)\cong \operatorname{Hom}_{d\mathcal{H}_{n-m,m}}(N\boxtimes L(a^m),\Delta_{a^m}(M))$$

*Proof.*  $N \boxtimes L(a^m)$  is in the block  $(\ldots, a, \ldots, a)$  and so nonzero homomorphisms  $N \boxtimes L(a^m) \to \operatorname{Res}_{n-m,m}^n M$  must land in the  $(\ldots, a, \ldots, a)$  block of  $\operatorname{Res}_{n-m,m}^n M$ . But this is exactly  $\Delta_{a^m}(M)$ .

**Definition 1.5.** Given  $a \in \mathbb{k}$  and  $M \in d\mathcal{H}_n - mod$ , let

$$\epsilon_a(M) = \max\left\{m \ge 0 \,|\, \Delta_{a^m}(M) \ne 0\right\}$$

**Proposition 1.6.** Let  $m \ge 0$ ,  $a \in \mathbb{k}$  and  $N \in d\mathcal{H}_n$ -mod be <u>irreducible</u> with  $\epsilon_a(N) = 0$   $(N_{\vec{b}} = 0$  if  $b_n = a$ ). Set  $M = \operatorname{Ind}_{n,m}^{n+m} N \boxtimes L(a^m)$ . Then

(i)  $\Delta_{a^m}(M) \cong N \boxtimes L(a^m)$  (In particular  $\operatorname{soc}(\Delta_{a^m}(M))$  is irreducible)

- (ii)  $\Delta_{a^m}(\mathrm{hd}(M)) = \Delta_{a^m}(M)$  and  $\mathrm{hd}(M)$  (largest semisimple quotient) is irreducible.
- (iii)  $\epsilon_a(\operatorname{hd}(M)) = m$  and all other composition factors L of M have  $\epsilon_a(L) < m$ .

*Proof.* (i) From the unit of the adjunction from Lemma 1.4 we have a nonzero, injective (since N is simple) map

$$N \boxtimes L(a^m) \to \Delta_{a^m}(M)$$

Now using the shuffle lemma and the fact that when  $\epsilon_a(N) = 0$  there is only one shuffle  $\underline{b} \in wt(N)$  and  $(a, \ldots, a)$  in which the last m spots are all a, we have that

$$\dim_{\mathbb{K}} N \boxtimes L(a^m) = \dim_{\mathbb{K}} \Delta_{a^m}(M)$$

and thus they are isomorphic.

(*ii*) Let hd(M) = M/I. Because  $\Delta_{a^m}$  is exact we have the SES

$$0 \to \Delta_{a^m}(I) \to \Delta_{a^m}(M) \to \Delta_{a^m}(\mathrm{hd}(M)) \to 0 \tag{1}$$

But since  $\Delta_{a^m}(M) \cong N \boxtimes L(a^m)$  is simple it follows that  $\Delta_{a^m}(I) = 0$ . Moreover, any composition factor of  $\Delta_{a^m}(\operatorname{hd}(M))$  will be a composition factor of  $\Delta_{a^m}(M)$ . From Lemma 1.4 we have that

$$\operatorname{Hom}_{n+m}(M, M/I) = \operatorname{Hom}_{n,m}(N \boxtimes L(a^m), \Delta_{a^m}(\operatorname{hd}(M)))$$

If hd(M) were not simple, then semisimplicity of M/I would give us at least 2 different maps on the LHS and thus if  $N \boxtimes L(a^m)$  appears with multiplicity 2 as a composition factor of  $\Delta_{a^m}(hd(M))$  and thus of  $\Delta_{a^m}(M)$ . But  $\Delta_{a^m}(M) \cong N \boxtimes L(a^m)$  so this is impossible.

We have that  $\Delta_{a^{m+1}}(M) = \Delta_{a^{m+1}}(\Delta_{a^m}(M)) = \Delta_{a^{m+1}}(N \boxtimes L(a^m)) = 0$  as  $\epsilon_a(N) = 0$  and thus  $\epsilon_a(\operatorname{hd}(M)) = m$ . Eq. (1) shows  $\Delta_{a^m}(I) = 0$  and thus  $\epsilon_a(L) < m$  for all other composition factors L.

**Lemma 1.7.** Let  $M \in d\mathcal{H}_n - mod$  be <u>irreducible</u>,  $a \in \Bbbk$ . If  $N \boxtimes L(a^m)$  is an irreducible submodule of  $\Delta_{a^m}(M)$  for some  $0 \le m \le \epsilon_a(M)$ , then  $\epsilon_a(N) = \epsilon_a(M) - m$ .

**Lemma 1.8.** Let  $M \in d\mathcal{H}_n$ -mod be <u>irreducible</u>,  $a \in \mathbb{k}$  and  $\epsilon := \epsilon_a(M)$ . Then  $\Delta_{a^{\epsilon}}(M)$  is isomorphic to  $N \boxtimes L(a^{\epsilon})$  for some irreducible  $N \in d\mathcal{H}_{n-\epsilon}$ -mod with  $\epsilon_a(N) = 0$ .

*Proof.* Choose any simple submodule  $N \boxtimes L(a^{\epsilon}) \hookrightarrow \Delta_{a^{\epsilon}}(M)$ . Then by Lemma 1.7 (with  $m = \epsilon$ ) we have that  $\epsilon_a(N) = 0$ . By Lemma 1.4 we have a map

$$\operatorname{Ind}_{n-\epsilon,\epsilon}^n N \boxtimes L(a^{\epsilon}) \twoheadrightarrow M$$

which is surjective as M is irreducible by assumption. By exactness of  $\Delta_{a^{\epsilon}}$  we then have

$$\Delta_{a^{\epsilon}}(\operatorname{Ind}_{n-\epsilon,\epsilon}^{n}N\boxtimes L(a^{\epsilon}))\twoheadrightarrow \Delta_{a^{\epsilon}}(M)$$

But by Proposition 1.6 (i), the LHS above is isomorphic to  $N \boxtimes L(a^{\epsilon})$  and thus the isomorphism as desired.

**Theorem 1.9.** Let  $M \in d\mathcal{H}_n$ -mod be <u>irreducible</u>,  $a \in \mathbb{k}$ . Then for any  $0 \le m \le \epsilon_a(M)$ ,  $\operatorname{soc}(\Delta_{a^m}(M))$  is an irreducible  $d\mathcal{H}_{n-m,m}$ -mod of the form  $L \boxtimes L(a^m)$  with  $\epsilon_a(L) = \epsilon_a(M) - m$ .

*Proof.* When  $m = \epsilon$  this is just the lemma above. Again let  $\epsilon = \epsilon_a(M)$ . Consider an irreducible summand

$$L \boxtimes L(a^m) \hookrightarrow \operatorname{soc} \left(\Delta_{a^m}(M)\right)$$
 (2)

By Lemma 1.7 we have that  $\epsilon_a(L) = \epsilon - m$ . Thus taking the  $x_{n-m}, \ldots, x_{n-\epsilon+1}$  generalized *a*-eigenspace of both sides of Eq. (2) we obtain the inclusion

$$\Delta_{\epsilon-m}(L) \boxtimes L(a^m) \hookrightarrow \Delta_{a^{\epsilon}}(M)$$

Note that  $\Delta_{\epsilon-m}(L)$  is simple by ?? and keeping track of the submodule structure the LHS is a  $d\mathcal{H}_{n-m-(\epsilon-m),\epsilon-m,m}$ -module and thus we have the inclusion of an irreducible

$$\Delta_{\epsilon-m}(L) \boxtimes L(a^m) \hookrightarrow \operatorname{Res}_{n-\epsilon,\epsilon-m,m}^{n-\epsilon,\epsilon} \Delta_{a^\epsilon}(M)$$

as submodules. But from Lemma 1.8 we have that  $\Delta_{a^{\epsilon}}(M) = N \boxtimes L(a^{\epsilon})$ . We know that soc  $\left(\operatorname{Res}_{\epsilon-m,m}^{\epsilon}L(a^{\epsilon})\right) = L(a^{\epsilon-m}) \boxtimes L(a^m)$  from the previous lecture and thus we have that

$$\operatorname{soc}\left(\operatorname{Res}_{n-\epsilon,\epsilon-m,m}^{n-\epsilon,\epsilon}\Delta_{a^{\epsilon}}(M)\right) = N \boxtimes L(a^{\epsilon-m}) \boxtimes L(a^{m})$$

is simple and thus  $\Delta_{\epsilon-m}(L)$  is unique and thus L is unique<sup>1</sup>.

### 2 Crystal Operators

**Definition 2.1.** Let  $M \in d\mathcal{H}_n$ -mod be <u>irreducible</u>, define

$$\widetilde{e_a}(M) = \operatorname{soc}(e_a(M)), \qquad \widetilde{f_a}(M) = \operatorname{hd}\left(\operatorname{Ind}_{n,1}^{n+1}M \boxtimes L(a)\right)$$

where  $e_a(M) = \operatorname{Res}_{n-1}^{n-1,1} \circ \Delta_a(M)$ .

**Remark.** In  $d\mathcal{H}_n^{\Lambda_0} := d\mathcal{H}_n/(x_1) = \mathbb{k}[S_n]$  we have that  $x_k \mapsto J_k$  where  $J_k$  is the k-th Jucys-Murphy element. Then  $e_a$ , " $f_a$ " as defined above has a very nice decription when restricted to the Specht modules,  $e_a$  removes a box of content a while " $f_a$ " adds a box of content a.

**Remark.** " $f_a$ " is in quotations above because it's not defined.

**Lemma 2.2.**  $\widetilde{e_a}: d\mathcal{H}_n - irr \to d\mathcal{H}_{n-1} - irr$  and  $\widetilde{f_a}: d\mathcal{H}_n - irr \to d\mathcal{H}_{n+1} - irr$ 

*Proof.* We just show the case  $\widetilde{e_a}$ . Let  $L \hookrightarrow e_a(M)$  be an irreducible submodule. We need to show L is unique. First note that as a set,  $e_a(M) = \Delta_a(M) \subset M$ . We claim that L is in fact a  $d\mathcal{H}_{n-1,1}$  submodule, aka stable under the action of  $x_n$ . Note

- (1)  $z = x_1 + \ldots + x_n$  is central in  $d\mathcal{H}_n$  it acts by a scalar on the irreducible  $d\mathcal{H}_n$ -module M and thus on any subset L.
- (2)  $z' = x_1 + \ldots + x_n$  is central in  $d\mathcal{H}_{n-1}$  it acts by a scalar on the irreducible  $d\mathcal{H}_{n-1}$ -module L.
- (3) Therefore  $x_n = z z'$  acts by a scalar on L.
- (4)  $L \subset \Delta_a(M)$  as a set, so L is a subset of the generalized *a*-eigenspace for  $x_n$  and since  $x_n$  acts by a scalar that scalar must be *a*.
- (5) Therefore as a  $d\mathcal{H}_{n-1,1}$  module  $L = L \boxtimes L(a) \subset \Delta_a(M)$ . This is irreducible and thus contributes to the socle and by Theorem 1.9 the socle is irreducible so L is unique.

**Proposition 2.3.** Let  $M \in d\mathcal{H}_n$ -mod be <u>irreducible</u>,  $a \in \mathbb{k}$ . Then

(a) soc  $(\Delta_{a^m} M) \cong (\widetilde{e_a}^m(M)) \boxtimes L(a^m).$ 

<sup>&</sup>lt;sup>1</sup>The functors  $\Delta_{a^k}$ , Res are all restriction functors so the inclusion of another  $L \boxtimes L(a^m)$  would genuinely produce a different factor.

(b) hd  $\left(\operatorname{Ind}_{n,m}^{n+m}M \boxtimes L(a^m)\right) \cong \widetilde{f_a}^m(M).$ 

*Proof.* (a) If  $m > \epsilon_a(M)$  then both parts in the equality are 0. So let  $m \le \epsilon_a(M)$  [TODO]

**Lemma 2.4** (Crystal). Let  $A \in d\mathcal{H}_n$ -mod and  $B \in d\mathcal{H}_{n+1}$  be <u>irreducible</u> modules and  $a \in \mathbb{k}$ . Then  $\widetilde{f_a}(A) = B \iff \widetilde{e_a}(B) = A$ .

**Corollary 2.5.** Let  $M, N \in d\mathcal{H}_n - mod$  be <u>irreducible</u>. Then  $\widetilde{e_a}(M) \cong \widetilde{e_a}(N) \iff M \cong N$  assuming  $\epsilon_a(M), \epsilon_a(N) > 0.$ 

*Proof.*  $\implies$  Suppose  $\widetilde{e_a}(M) \cong \widetilde{e_a}(N)$ . By Lemma 2.4 with  $B = M, A = \widetilde{e_a}(N)$  we have that  $\widetilde{f_a}(\widetilde{e_a}(N)) = M$ . But we can apply Lemma 2.4 again with  $B = N, A = \widetilde{e_a}(M)$  to obtain  $\widetilde{f_a}(\widetilde{e_a}(M)) = N$  and thus  $M \cong N$  as desired.

**Theorem 1** (Vazirani) The map ch :  $K_0(d\mathcal{H}_n - \text{mod}) \to K_0(P_n - \text{mod})$  is injective where ch $(M) = [\text{Res}_{P_n}^n M]$ .

*Proof.* It suffices to show that  $\{ch(L)\}_{L \text{ irr}}$  is L.I. over  $\mathbb{Z}$ . Proceed by induction on n. Suppose we have

$$\sum_{L} c_L \operatorname{ch}(L) = 0 \qquad c_L \in \mathbb{Z}$$
(3)

for some simple  $L \in d\mathcal{H}_n$ -mod. Choose  $a \in \mathbb{k}$ , we will show by downward induction that  $c_L = 0$  if  $\epsilon_a(L) = k$  where  $k = n, \ldots, 1$ . Doing this for all a will then complete the proof. Because  $\Delta_{a^n}$  is exact, it descends to a map  $K_0(P_n - \text{mod}) \to K_0(P_n - \text{mod})$  and commutes with Res. The only simple in the block  $(a, \ldots, a)^2$  is  $L(a^n)$  and thus applying  $\Delta_{a^n}$  to Eq. (3), we see that the coefficient of  $chL(a^n)$  is zero completing the base case k = n.

Now suppose that  $c_L = 0$  for all L with  $\epsilon_a(L) > k$ , applying  $\Delta_{a^k}$  to Eq. (3) we have

$$\sum_{L \text{ s.t. } \epsilon_a(L)=k} c_L \operatorname{ch}(\Delta_{a^k}(L)) = 0$$
(4)

because  $c_L = 0$  if  $\epsilon_a(L) > k$  by induction and  $\Delta_{a^k}(L) = 0$  if  $\epsilon_a(L) < k$ . Since  $\epsilon_a(L) = k$  Lemma 1.8 tells us that  $\Delta_{a^k}(L)$  is simple and thus equal to it's socle. From Proposition 2.3 we then see that

$$\Delta_{a^k}(L) \cong \left(\widetilde{e_a}^k(L)\right) \boxtimes L(a^k)$$

and thus we can factor out a  $[L(a^k)]$  from Eq. (4) to obtain

$$\sum_{L \text{ s.t. } \epsilon_a(L)=k} c_L \operatorname{ch}(\widetilde{e_a}^k(L)) = 0$$

We know that  $\widetilde{e_a}^k(L) \in d\mathcal{H}_{n-k}$ -irr so by induction all the  $c_L = 0$  assuming that  $\{\widetilde{e_a}^k(L)\}$  are all distinct. But this is exactly what Corollary 2.5 tells us so we are done.

 $^{2}n$  times

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### 3 Misc Results

**Proposition 3.1.** Let  $M \in d\mathcal{H}_n - mod$  be <u>irreducible</u>, then soc  $(\operatorname{Res}_{n-1}^n M)$  is multiplicity-free.

*Proof.* We have that  $\operatorname{Res}_{n-1}^n M = \bigoplus_{a \in \mathbb{k}} e_a(M)$  with all but finitely many summands zero and thus

$$\operatorname{soc}\left(\operatorname{Res}_{n-1}^{n}M\right) = \bigoplus_{a \in \mathbb{k}} \operatorname{soc}(e_{a}(M)) = \bigoplus_{a \in \mathbb{k}} \widetilde{e_{a}}(M)$$

where we have used tha soc commutes with direct sum (see [Modular Representation Theory of Finite Groups, Exercise 24.5] by Lassueur, Farrell). Alternatively in this case each irreducible is contained in a unique block so must be contained inside  $soc(e_a(M))$  for some a and thus soc commutes with the direct sum above.

Now we know  $\widetilde{e_a}(M)$  is irreducible and for different  $a \in k$ ,  $\widetilde{e_a}(M)$  are in different blocks and thus can't be isomorphic to each other and thus soc  $(\operatorname{Res}_{n-1}^n M)$  is multiplicity free as desired.

# 4 Categorification of $U(\widehat{\mathfrak{sl}}_p)$

**Definition 4.1.** Given  $\Bbbk$  let  $I := \mathbb{Z} \cdot I \subset \Bbbk$ . As a set  $I = \mathbb{Z}/p\mathbb{Z}$  where  $p = \operatorname{char} \Bbbk$ .

**Definition 4.2.**  $M \in d\mathcal{H}_n$ -mod is called integral if all the eigenvalues of  $\{x_i\}_{i=1}^n$  are in I. Let  $d\mathcal{H}_n$ -mod<sub>I</sub> be the full subcategory of  $d\mathcal{H}_n$ -mod consisting of all integral modules.

**Theorem 2** Let  $K_0(d\mathcal{H}_{\Bbbk}) = \bigoplus_{n \ge 0} K_0(d\mathcal{H}_n/_{\Bbbk} - \operatorname{mod}_I)$  and let  $K_{\oplus}(d\mathcal{H}_{\Bbbk}) = \bigoplus_{n \ge 0} K_{\oplus}(d\mathcal{H}_n/_{\Bbbk} - \operatorname{pmod}_I)$ . Then there are isomorphisms of Hopf algebras  $U_{\mathbb{Z}}(\widehat{\mathfrak{sl}_p}^+) \xrightarrow{\sim} K_{\oplus}(d\mathcal{H}_{\Bbbk}) \qquad U_{\mathbb{Z}}^*(\widehat{\mathfrak{sl}_p}^+) \xrightarrow{\sim} K_0(d\mathcal{H}_{\Bbbk})$ where  $p = \operatorname{char} \Bbbk$ , s.t.  $\operatorname{CB}_{\widehat{\mathfrak{sl}_p}} \longleftrightarrow \{[P]_{P \text{ indec}}\} \qquad \operatorname{DCB}_{\widehat{\mathfrak{sl}_p}} \longleftrightarrow \{[L]_{L \text{ irr}}\}$ and  $\widetilde{E_a}, \widetilde{F_a} \longleftrightarrow \widetilde{e_a}, \widetilde{f_a}.$